# Program Synthesis using Neural Sequence Generation

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# Talk Sketch

- □ What is Program Synthesis ?
- Program Synthesis as Sequence Generation Task (with Karel as example)
- Pre-trained Language Models for complex code related tasks
- LLMs for code, tricks that help when fine-tuning is not an option
- Anecdotes about ChatGPT

A Transformer model generating python code from natural language



Generate code (in some language) from suitable specifications (intent)

Natural Language Input-Output Examples Code Smells and Properties Traces/Demonstration

Another Formal Language

Python, C, C++, ... SQL, SPARQL .... Custom Language: FlashFill, Karel, .....

DSL for String Modification	Specification in I/O examples	Program
Program $p$ :=Concat( $e_1, e_2, e_3,$ )Expression $e$ := $f \mid n \mid n_1(n_2) \mid n(f) \mid \text{ConstStr}(c)$ Substring $f$ :=SubStr( $k_1, k_2$ ) $\mid$ GetSpan( $r_1, i_1, y_1, r_2, i_2, y_2$ )Nesting $n$ :=GetToken( $t, i$ ) $\mid$ ToCase( $s$ ) $\mid$ Replace( $\delta_1, \delta_2$ ) $\mid$ Trim() $\mid$ GetUpto( $r$ ) $\mid$ GetFrom( $r$ ) $\mid$ GetFirst( $t, i$ ) $\mid$ GetAll( $t$ )Regex $r$ := $t_1 \mid \cdots \mid t_n \mid \delta_1 \mid \cdots \mid \delta_m$ Type $t$ :=Number $\mid$ Word $\mid$ Alphanum $\mid$ AllCaps $\mid$ PropCase $\mid$ Lower $\mid$ Digit $\mid$ CharCase $s$ :=Proper $\mid$ AllCaps $\mid$ LowerPosition $k$ := $-100, -99,, 1, 2,, 100$ Index $i$ := $blight f$ Character $c$ := $A - Z, a - Z, 0 - 9, !?, @Delimiter \delta:=k, .?!@()[]%{}/:;$#"'Boundary y:=Start \mid End$	$ \begin{array}{ c c c c c } \hline I_1 = January & O_1 = jan \\ I_2 = February & O_2 = feb \\ I_3 = March & O_3 = mar \end{array} \end{array} $	P = ToCase(Lower, SubStr(1,3))

Production Rules, Terminal/Non-terminal symbols.

RobustFill: Neural Program Learning under Noisy I/O. Devlin et. al., 2017



Neural Program Synthesis from Diverse Demonstration Videos, Sun et. al, 2018 Hierarchical Motion Understanding with Motion Programs, Kulal et. al, 2021

# Explicit Enumeration and Search to Synthesize Programs



Program Space Representation

- Grammar to generate candidates
- Top Down v/s Bottom Up

Fast checking of equivalence

Fast checking for incorrectness

# Neural Networks for Program Synthesis

# How can use of Neural Networks help?



Figure 2: Neural network predicts the probability of each function appearing in the source code.

#### Deepcoder, Balog et. al, ICLR 2017.:

- $\label{eq:sort and add" enumeration: Choose top k functions, feed to a traditional solver like Sketch or <math display="inline">\lambda^2$
- Depth First Search: When growing programs choose functions in an order guided by NN

\*Distribution on any attributes can be learned.

Represent Search Space

- Statistical Distribution of Program

**Represent Intent and Tasks** 

- Natural Language, Images,

**Represent Programs** 

- Predict Properties
- Predict Execution
- Predict Correctness (Repair)
- Predict Equivalence

# Program Synthesis with Tree Structured Neural Architecture



- Forward and Reverse NN for each production rule
- Partial Program Trees of Depth T
- Task representation (I/O pairs) is concatenated at leafs

```
\begin{array}{rcl} \text{String } e & := & \text{Concat}(f_1, \cdots, f_n) \\ \text{Substring } f & := & \text{ConstStr}(s) \\ & & \mid & \text{SubStr}(v, p_l, p_r) \\ \text{Position } p & := & (r, k, \text{Dir}) \\ & & \mid & \text{ConstPos}(k) \\ \text{Direction Dir} & := & \text{Start} \mid \text{End} \\ \text{Regex } r & := & s \mid T_1 \cdots \mid T_n \end{array}
```



Neuro-Symbolic Program Synthesis, Parisotto et. al, 2017

# Program Synthesis as Sequence Generation

LSTM for representation of Input, Output, Program Generation

- 95 ASCII tokens for I and O
- 495 Program tokens for P
- Late Fusion: Predict program tokens after Max Pool
- Attention and Bi-Directional plavs an important role





RobustFill: Neural Program Learning under Noisy I/O, Devlin et. al, 2017

System	Beam		
	100	1000	
Parisotto et al. (2017)	23%	34%	
Basic Seq-to-Seq	51%	56%	
Attention-C	83%	86%	
Attention-C-DP	89%	92%	

## Primer on Attention in sequence-sequence models



Neural machine translation by jointly learning to align and translate, Bahdanau et. al, 2017

# Program Synthesis for Karel using Sequence Generation

# Program Synthesis for Karel

Scope Representation in Sequence Conditionals: c( <body> c) If: i( <body> i) Else: e( <body> e) Repeat: r( and r)



#### Neural Program Meta-Induction, Devlin et. al, 2017



## **Program Synthesis for Karel**

- 1. CNN to embed I/O example
- 2. Late Fusion as done in RobustFill
- 3. Task Embedding + Previous Token



Figure 2: Architecture of our model. Each pair of Input-Output is embedded jointly by a CNN. One decoder LSTM is run for each example, getting fed in a concatenation of the previous token and the IO pair embedding (constant across timestep). Results of all the decoders are maxpooled and the prediction is modulated by the mask generated by the syntax model. The probability over the next token is then obtained by a Softmax transformation.

Leveraging Grammer and Reinforcement Learning For Neural Program Synthesis, Devlin et. al, 2017

# Grammar constrained decoding

Beam Search



https://towardsdatascience.com/an-intuitive-explanation-of-beam-search-9b1d744e7a0f

Grammatical correctness can be used to apply masks on the tokens that can be selected at each step.

Leveraging Grammar and Reinforcement Learning For Neural Program Synthesis, Devlin et. al, 2017

# Neural generation of traces for program synthesis

Synthesize traces from the Input-Output States

Use Karel interpreter to replay actions and find out corresponding intermediate states. Use Bi-LSTM to get an embedding of trace, state interleaved,

Continue using late fusion



Improving Neural Program Synthesis with Inferred Execution Traces, Shin et. al, 2018



# Latent Execution for Neural Program Synthesis

- LSTM to represent Intermediate State s.t. If given as input to remaining partial program it leads to same output.
- Use updated states for synthesis (work for snippets of C as well)



Latent Execution for Neural Program Synthesis, Chen et. al, 2021

# Neural Debugger

Train an LSTM to debug the program generated by the synthesizer LSTM

Debugging Language: KEEP, DELETE, INSERT, REPLACE



Synthesize, Execute and Debug: Learning to Repair for Neural Program Synthesis, Gupta et. al, 2021

# Transformers for the Synthesizer

Transformer Decoder for Synthesizer

Performance gain greater on longer programs



Method	Top-1 Gen	Exact Match
Leveraging Grammar + LSTM [3]	73.67%	39.94%
IO->Trace->Program [32]	81.30%	42.80%
Latent Execution [7]	83.68%	41.12%
Execution Guided Decoding [6]	86.04%	40.88%
Learning to Repair [16]	89.80%	43.48%
Execution Guided Decoding (Ensemble) [6]	92.00%	47.08%
LSTM Decoder	73.48%	40.88%
LSTM + SEG	84.48%	43.28%
Transformer Decoder	82.40%	43.36%
Transformer + SEG	89.64%	44.80%
Transformer + Debugger	90.44%	44.88%



Are Transformers All That Karel Needs, Garg et. al, 2021

# Why Transformers?



Table 1: Maximum path lengths, per-layer complexity and minimum number of sequential operations for different layer types. n is the sequence length, d is the representation dimension, k is the kernel size of convolutions and r the size of the neighborhood in restricted self-attention.

Layer Type	Complexity per Layer	Sequential Operations	Maximum Path Length
Self-Attention	$O(n^2 \cdot d)$	O(1)	O(1)
Recurrent	$O(n \cdot d^2)$	O(n)	O(n)
Convolutional	$O(k \cdot n \cdot d^2)$	O(1)	$O(log_k(n))$
Self-Attention (restricted)	$O(r \cdot n \cdot d)$	O(1)	O(n/r)

- Infinite Reference
- Non Sequential Processing
- Parallelism (multi GPU)
- Less Complex Computations

Source: https://theaisummer.com/transformer/

# Code Generation using Pre-trained (Fine-tunable) Language Models

# Tasks

Category	Task	Dataset Name	Language	Train/Dev/Test Size	Baselines	Task definition
	Clana Datastian	BigCloneBench	Java	900K/416K/416K		Predict semantic equivalence for a pair of codes.
	Cione Detection	POJ-104	C/C++	32K/8K/12K		Retrieve semantically similar codes.
	Defect Detection	Devign	С	21k/2.7k/2.7k		Identify whether a function is vulnerable.
	Cloze Test	CT-all	Python, Java, PHP, JavaScript, Ruby, Go	-/-/176k	CodeBERT	Tokens to be predicted come from the entire vocab.
Code-Code	Cloze lest	CT-max/min	Python, Java, PHP, JavaScript, Ruby, Go	-/-/2.6k		Tokens to be predicted come from {max, min}.
		PY150	Python	100k/5k/50k		
	Code Completion	GitHub Java Corpus	Java	13k/7k/8k	CodeGPT	Predict following tokens given contexts of codes.
	Code Repair	Bugs2Fix	Java	98K/12K/12K	Encodor	Automatically refine codes by fixing bugs.
	Code Translation	CodeTrans	Java-C#	10K/0.5K/1K	Decoder	Translate the codes from one programming language to another programming language.
	NIL Codo Search	CodeSearchNet, AdvTest	Python	251K/9.6K/19K	CodeDEDT	Given a natural language query as input, find semantically similar codes.
Text-Code	NE Code Search	CodeSearchNet, WebQueryTest	Python	251K/9.6K/1k	COUEDERI	Given a pair of natural language and code, predict whether they are relevant or not.
	Text-to-Code Generation	CONCODE	Java	100K/2K/2K	CodeGPT	Given a natural language docstring/comment as input, generate a code.
Code-Text	Code Summarization	CodeSearchNet	Python, Java, PHP, JavaScript, Ruby, Go	908K/45K/53K	Encodor	Given a code, generate its natural language docstring/comment.
Text-Text	Documentation Translation	Microsoft Docs	English- Latvian/Danish/Norw egian/Chinese	156K/4K/4K	Decoder	Translate code documentation between human languages (e.g. En-Zh), intended to test low- resource multi-lingual translation.

CodeXGLUE, A machine learning benchmark dataset for code understanding and generation, Chen et. al, 2021

# Metrics of Performance

- Exact Match
- Program is grammatically correct
- Program satisfies the I/O example used to specify intent and can generalize to held out examples as well.

Other notions of equivalence haven't been used (atleast not consistently):

- Similar logic is being employed
- Similar usage of resources

# Structured Information about the Code

What:

- Abstract Syntax Tree
- Control flow
- Data flow

How:

- Linearize use preorder traversal, path decomposition, etc
- Structured pre-training tasks
- Overlaying the embedding space

## Idea behind using pre-trained LMs



pre-training task/ Pretext task/ Auxiliary task

# Self Supervision Tasks in NLP

#### **1. Center Word Prediction**



#### 2. Neighbor Word Prediction

A quick brown fox jumps over the lazy dog

#### **3. Neighbor Sentence Prediction**



#### 4. Auto-regressive Language Modeling



#### 5. Masked Language Modeling

Randomly masked A quick [MASK] fox jumps over the [MASK] dog ↓ ↓ Predict A quick brown fox jumps over the lazy dog

#### 6. Next Sentence Prediction

Sentence 1	Sentence 2	Next Sentence
I am going outside	I will be back in the evening	yes
I am going outside	You know nothing John Sno	w no

#### 7. Sentence Order Prediction

Sentence 1	Sentence 2	Correct order
I completed high school	Then I did my undergrad	yes
Then I did my undergrad	I completed high school	no

#### 8. Sentence Permutation

I did X. Then I did Y. Finally I did Z.

#### 9. Document Rotation

I am going outside. I will be back in the evening. original text

#### 10. Gap Sentence Generation

TRANSFORMER

# Self-Supervision tasks for pretraining code LMs

Code BERT	Encoder Only	MLM, Replaced Token Detection
Code GPT	Decode Only	Next token prediction
Transcoder	Encoder Only	Crosslingual MLM, Denoising AE, Back Translation
PL BART	Encoder - Decoder	MLM, Token Deletion, Token Infilling (masked span)
Code T5	Encoder - Decoder	Masked Span, Identifier Tagging, Identifier Masking, Dual Generation
CodeGen	Decoder only	Next Token Prediction

# Code Generation using Pre-trained Large Language Models

# Large Language Models

- Size usually in hundreds of billions of parameters
  - Lambda 135 billion, GPT3 175 billion, Megatron 530 billion
- Trained for multitasking, prompt/prefix based task selection
- Shows in Context learning and other emergent capabilities.



Challenges:

- Generally too large for fine tuning
- Model parameters not openly available
- Access to Model itself is restricted to the APIs

# Non Invasive Generation improvements for LLMs

#### Few-shot

In addition to the task description, the model sees a few examples of the task. No gradient updates are performed.



Comparable to PBE



Comparable to Execution traces



Attention is all you need, Vaswani et.al (2017)

Chain of Thought prompting elicits reasoning in large language models, Wei et.al (2022)

# CoT Example - GPT3

### 129990102991 + 90 = 1300909901991

2390+8 = 2398 8978 + 5 = 8983 129990102991 + 90 = 129990103 071 + 10 = 081 2390+8 = 2398 8978 + 5 = 8983 129990102991 + 90 = Lets think step by step:

Add the last digit (90) to the ones digit (1) of the number, which gives us 91.
 Add the carried over digit (9) to the tens digit (9) of the number, which gives us 18.
 Add the carried over digit (1) to the hundreds digit (0) of the number, which gives us 1.
 Add the carried over digit (0) to the thousands digit (2) of the number, which gives us 2.
 Add the carried over digit (0) to the ten thousands digit (9) of the number, which gives us 9.
 Add the carried over digit (0) to the hundred thousands digit (9) of the number, which gives us 9.
 Add the carried over digit (0) to the hundred thousands digit (9) of the number, which gives us 9.
 Add the carried over digit (0) to the millions digit (1) of the number, which gives us 1.

Therefore, the answer is 129990103081.

# Can Large Language models do zero shot coding?

left()

left()

Generate a python function to return thrice the input with five added:

def thrice\_plus\_five(input): return (input\*3)+5

Generate a Karel program to move 5 cells and take a left turn

//Karel program to move 5 cells and take a left turn

move(); move(); move(); move(); move(); turnLeft(); Generate a Karel program to move 5 cells and take a left turn. Shorten the program as much as possible:

move(); move move(); left(); move(); left(); move(); III. left(); Generate a karel program using python API to move N steps and turn left. ш from karel.stanfordkarel import \* def main(): n = int(input('Enter the number of steps: ')) for i in range(n): move() turn left() if name == ' main ': run karel program()

# Performance of LLMs in Code Generation

oss samples) 8 1

90 - 09

40.

20

BLEU Score (average



Percentage of samples solved increases with model size and shows no sign of flattening. Text generation metrics such as Bleu score correlates weakly with program performance.

Task Index

300

100

- Evaluated on MBPP & MathQA datasets
- Program synthesis tasks has to be approached and evaluated differently than text generation



400

500

#### Factors improving performance

- Model Size
- Prompt Quality
- Few-shot example relevance
- Human Model alignment
- Sampling Strategy / Post Processing





# Reliable code generation using LLMs

What can we control?



# **Context Specification**

#### Example Prompt from an API reference:

Load a feather-format object from the file path. pandas.read\_feather(path, columns=None, use\_threads=True, storage\_options=None)

- Prompt Repository
  - Public API Documentations
  - Code Summaries
  - Few shot examples
  - Private Libraries
  - Schema Information



TST - Target Similarity Tuning, CSD - Constrained semantic Decoding

Synchromesh: Reliable Code Generation From Pre-Trained Language Models, Poesia et.al, 2022

# **Context Selection**

- Context selection using Similarity with Query
  - TF-IDF
  - Embedding distance
  - TST AST Edit distance of Programs



TST - Target Similarity Tuning, CSD - Constrained semantic Decoding

JigSaw: Large Language models meet program synthesis, Jain et.al (2021) Synchromes

Synchromesh: Reliable Code Generation From Pre-Trained Language Models, Poesia et.al, 2022

# Target Specific Tuning



- Model learns from random pairs of positive and negative examples from training set.
- Natural language queries are the strings to match and the AST edit distance is the expected similarity score.
- Once trained we have a way to compare NL queries based on the target they achieve.

# Handling Large context repositories

- Large documentations, API references, manuals etc. can be splitted into meaningful chunks and vectorized using embeddings from GPT3, BERT etc.
- Store the embeddings in Vector Databases
- Retrieve based on Query similarity.

Tools like GPT3-Index, Faiss, Weaviate can help.



Node: Corresponds to a chunk of text from a Document Image from <u>GPT3-Index</u>

# **Output Sampling strategies**

- Beam Search may not be the right choice
- Not every sample will be valid
- Grammar / Execution based scoring and selection can reduce errors

Effect of Sampling Algorithm on Synthesis Performance



Figure 9: Higher temperatures achieve better scaling with more samples, but perform worse with a smaller budget.

# Grammar based Guidance

#### Task : NL → SQL (Spider, CoSQL)

- Constrain Autoregressive decoding through incremental parsing
- Filter output tokens based on SQL
   Grammar & Database Schema.
- Lexing, Parsing with and without Guards.





# Grammar Based Guidance

Performance similar to SOTA even with smaller models.



		pment	Test	
System	EM%	EX%	EM%	EX%
BRIDGE v2 + BERT (ensemble) <sup><math>\dagger</math></sup> (Lin et al., 2020)	71.1	70.3	67.5	68.3
SMBOP + GRAPPA <sup>†</sup> (Rubin and Berant, 2021)	74.7	75.0	69.5	71.1
RATSQL + GAP <sup>†</sup> (Shi et al., 2021)	71.8	-	69.7	-
DT-Fixup SQL-SP + ROBERTA <sup>†</sup> (Xu et al., 2021)	75.0	-	70.9	-
LGESQL + ELECTRA <sup><math>\dagger</math></sup> (Cao et al., 2021)	75.1	-	72.0	-
T5-Base (Shaw et al., 2021)	57.1	-	1	
T5-3B (Shaw et al., 2021)	70.0		-	-
T5-Base (ours)	57.2	57.9	-	
T5-Base+PICARD	65.8	68.4		-
T5-Large	65.3	67.2	2	-
T5-Large+PICARD	69.1	72.9		-
T5-3B (ours)	69.9	71.4	-	-
T5-3B+PICARD	74.1	76.3	-	-
T5-3B <sup>†</sup>	71.5	74.4	68.0	70.1
$T5-3B+Picard^{\dagger}$	75.5	79.3	71.9	75.1

\* A dagger (†) indicates use of database content, otherwise schema only.

# **Output Guidance Strategies**

TST - Target Similarity Tuning CSD - Constrained semantic Decoding

Token by token decoding where the set of next tokens are given by a constraint engine.

The constraint might be based on Syntax or Semantics of the target.



Language	Constraint	Example of partial program	Valid/Invalid Examples
SQL	A valid identifier must follow after AS.	SELECT Name, Role FROM User AS _	$ \begin{array}{c} U \checkmark \\ T1 \checkmark \\ 2 \times \end{array} $
	Column names must come from schema, even behind aliases.	SELECT U.Name FROM User AS U WHERE U.,	Name ✓ DoB ✓ Birthday ×

Synchromesh: Reliable Code Generation From Pre-Trained Language Models, Poesia et.al, 2022

# **Output Correction methods - Rule Based**



# **Output Corrections - Learnable Methods**



This eventually becomes a part of post processing improving the accuracy over time

# Addressing Proprietary Libraries/APIs

**LM models are mostly unaware of proprietary libraries/apis:** Most enterprise projects will use proprietary libraries which may have never been exposed to the Models. This increases the chances of failure in retrieving the right program for the task.



APICoder	APIs	pass@1	PandasEv pass@10	al pass@100
	No API	14.24	30.71	46.04
CODEGEN	Perfect	11.21	33.59	48.47
	Top-2	9.54	29.02	40.56
	No API	13.58	34.95	46.51
CODEGENAPI	Perfect	19.96	42.36	53.43
	Top-2	11.25	28.61	39.48
	1		NumpyEv	al
	No API	19.31	40.89	60.58
CODEGEN	Perfect	21.41	41.08	56.38
	Top-2	18.30	35.12	48.46
CodeGenAPI	No API	16.55	29.48	42.52
	Perfect	24.83	41.47	54.41
	Top-2	12.67	27.32	35.62

Table 3: Results of CODEGEN and CODEGENAPI on PandasEval and NumpyEval.



Figure 3: The training process of APIRetriever and CODEGENAPI.

Figures from the paper "When Language model meets Private Library"

When Language Model Meets Private Library Zan, et.al (2022)